JC06 Rec'd PCT/PTO 0 4 0GTJ-129165 02-2005)
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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

35000

ATTORNEY'S DOCKET NUMBER
NL 030349
U.S. APPHCAITION NO Fill known, see 37 CFR 1.5

INTERNATIONAL APPLICATION NO. PCT/IB2004/050361	CONCERNING A SUBMISSION UNDER 35 U.S.C. 371								
ITTLE OF INVENTION LUMINAIRE APPLICANT(S) FOR DO/EO/US Maarten DE LEUW; Hans-Helmut BECHTEL; Joachim OPITZ; Stefan BEIKES; Bas VAN DER HEIJDEN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.									
APPLICANT(S) FOR DO/EO/US  Maarten DE LEUW; Hans-Helmut BECHTEL; Joachim OPITZ; Stefan BEIKES; Bas VAN DER HEIJDEN  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.		TITLE OF INVENTION							
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1. Image: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired.	Maarten	DE LEUW; Hans-Helmut BECHTEL;	Joachim OPITZ; Stefan BEIKES; Bas VA	AN DER HEIJDEN					
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. ☐ The US has been elected (Article 31). 5. ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. ☐ is attached hereto (required only if not communicated by the International Bureau). b. ☑ has been communicated by the International Bureau. c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US). 6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. ☐ is attached hereto. b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4). 7. ☑ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. ☐ are attached hereto (required only if not communicated by the International Bureau). b. ☐ have been communicated by the International Bureau. c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.	1.3	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
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<ul> <li>b. have been communicated by the International Bureau.</li> <li>c. have not been made; however, the time limit for making such amendments has NOT expired.</li> </ul>	,. <del>-</del>	T							
c. have not been made; however, the time limit for making such amendments has NOT expired.				uuriar Bureauj.					
d. —— Have not occurring and will not be made.									
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	8.	An English language translation of th	ne amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).					
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	9. 🔽	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).						
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	10.		e annexes of the International Preliminary E	xamination Report under PCT					
Items 11 to 20 below concern document(s) or information included:									
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		An assignment document for recording	ig. A separate cover sheet in compliance wi	ith 37 CFR 3.28 and 3.31 is included.					
13. A preliminary amendment.	13. 🗹	A preliminary amendment.	•	·					
14. An Application Data Sheet under 37 CFR 1.76.		An Application Data Sheet under 37 C	DFR 1.76.						
15. A substitute specification.									
16. A power of attorney and/or change of address letter.									
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  Express Mail Certificate; PTO/SB/80; PTO/SB/96; Charge Authorization; Receipt Confirmation Postcard  Other items or information:		Express M							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JC12 Rec'd PCT/PTC 04 OCT 2005

PTO-1390 (Rev. 02-2005)
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U.S. APPLICATI	ON NO. (it know)	ATTORNEY'S DOCKET NUMBER					
I	The following fees have been submitted  21.  Basic national fee\$300					PTO USE ONLY	
				<del></del>	\$ 300.00		
If International pr	(1)-(4)	\$ 200.00					
Search fee (37 Ci	nal Searching Aut och Report prepar	\$ 400.00					
	TOTAL OF 21, 2	\$ 900.00					
sequence lis	e for specification ting or computer 250 for each addit						
Total Sheets	Extra Sheets	1 .	n additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =		•	x \$250	\$		
Surcharge of \$130 claimed priority da	0.00 for furnishing ate (37 CFR 1.49)	g the oath or decla 2(h)).	aration later than 30 months t		\$		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	16	- 20 =		× \$50	\$ 0.00		
Independent claim	ns 2	- 3 =		× \$200	\$ 0.00		
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$		
· -	<u></u>	, , ,	TOTAL OF ABOVE	CALCULATIONS =	\$ 0.00	<del> </del>	
Applicant clai	ms small entity st	tatus. See 37 CFF	R 1.27. Fees above are redu	ced by 1/2.		<del> </del>	
				SUBTOTAL =	\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							
TOTAL NATIONAL FEE = \$ 900.00							
Fee for recording by an appropriate		\$ 40.00	<del></del>				
TOTAL FEES ENCLOSED = \$ 940.00							
Amount to be							
		refunded:	\$				
		_			Amount to be charged:	\$ 940.00	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:    Containing status							
Corporate Patent Counsel SIGNATURE							
Frank Keegan							
_	Philips Electronics North America Corporation  P.O. Box 3001						
50,145							
Briarcliff Manor, NY 10510 REGISTRATION NUMBER							

■ IN THE UNITED STATES PATENT AND TRADEMARK OFFICE JC12 Rec'd PCT/FTT 0 4 OCT 2005 Atty. Docket

In re Application of

DE LEUW et al.

NL 030349

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

LUMINAIRE

Commissioner for Patents Alexandria, VA 22313-1450

## AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

Frank Keegan, Reg. 50,145

Yranh Degn 9/3/or

Attorney

(914) 333-9669

**10/552081 JC12 Rec'd PCT/PTC 04 OCT 2005** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Atty. Docket

DE LEUW et al. NL 030349

Serial No. Group Art Unit

Filed: CONCURRENTLY Ex.

LUMINAIRE

	CERTIFICATE OF EXPRESS MAILING
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Jeanne Rusciano	Jeanne Rusciani)
Typed Name	Signature //